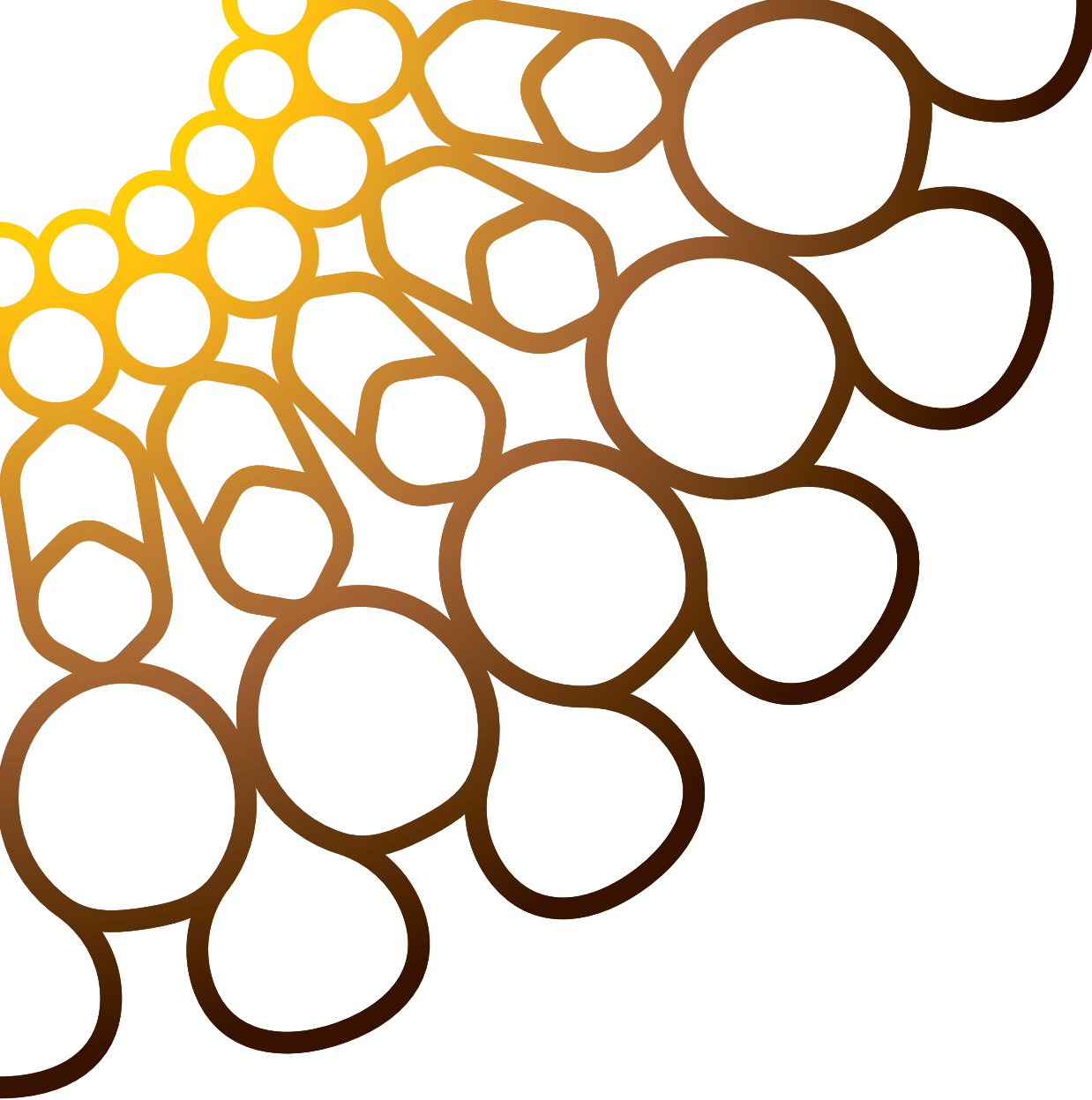
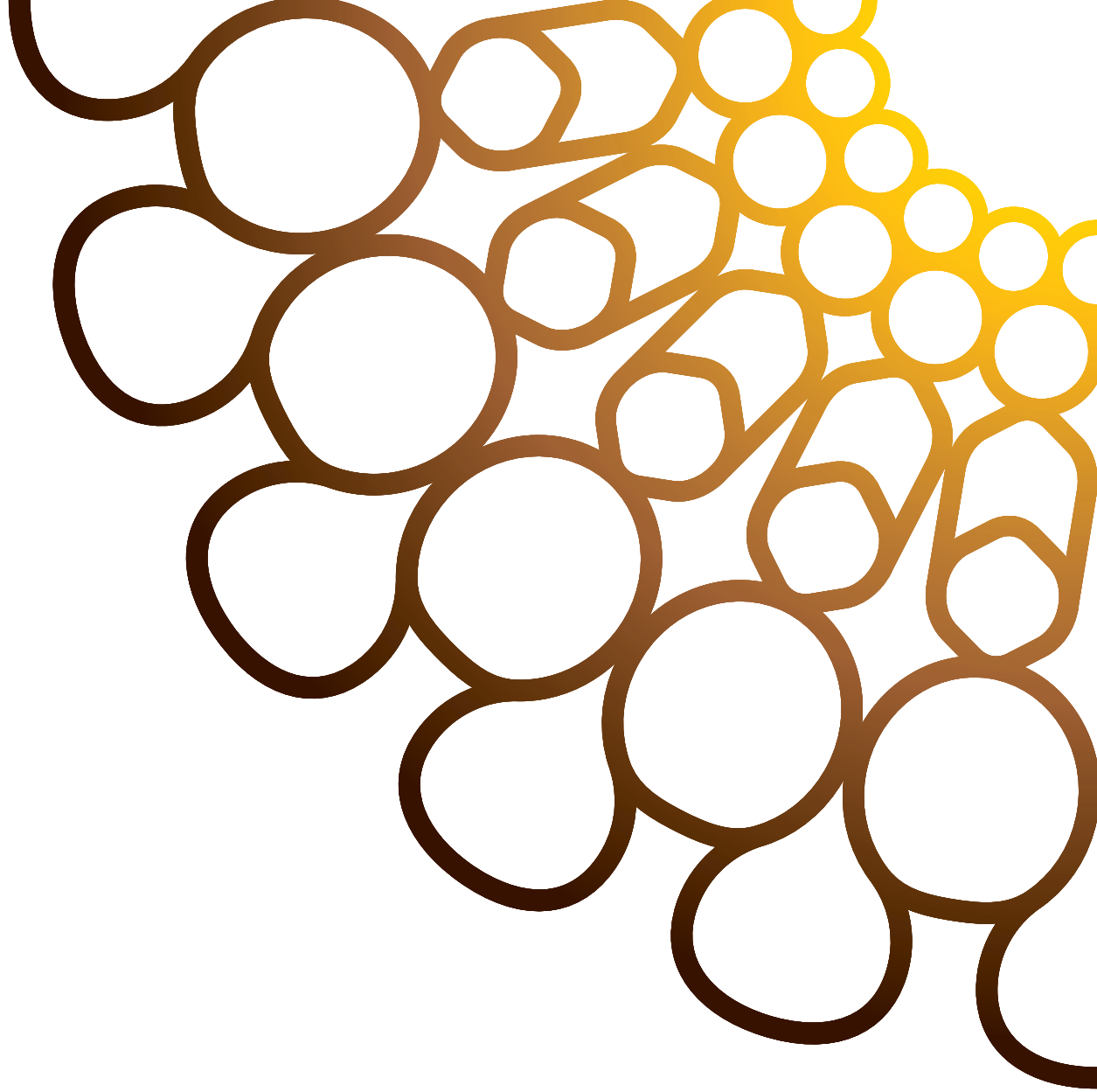




Expo Live Grant Manual Innovation Impact Grants



إكسبو 2020 EXPO 2020
دبي، الإمارات العربية المتحدة
DUBAI, UNITED ARAB EMIRATES

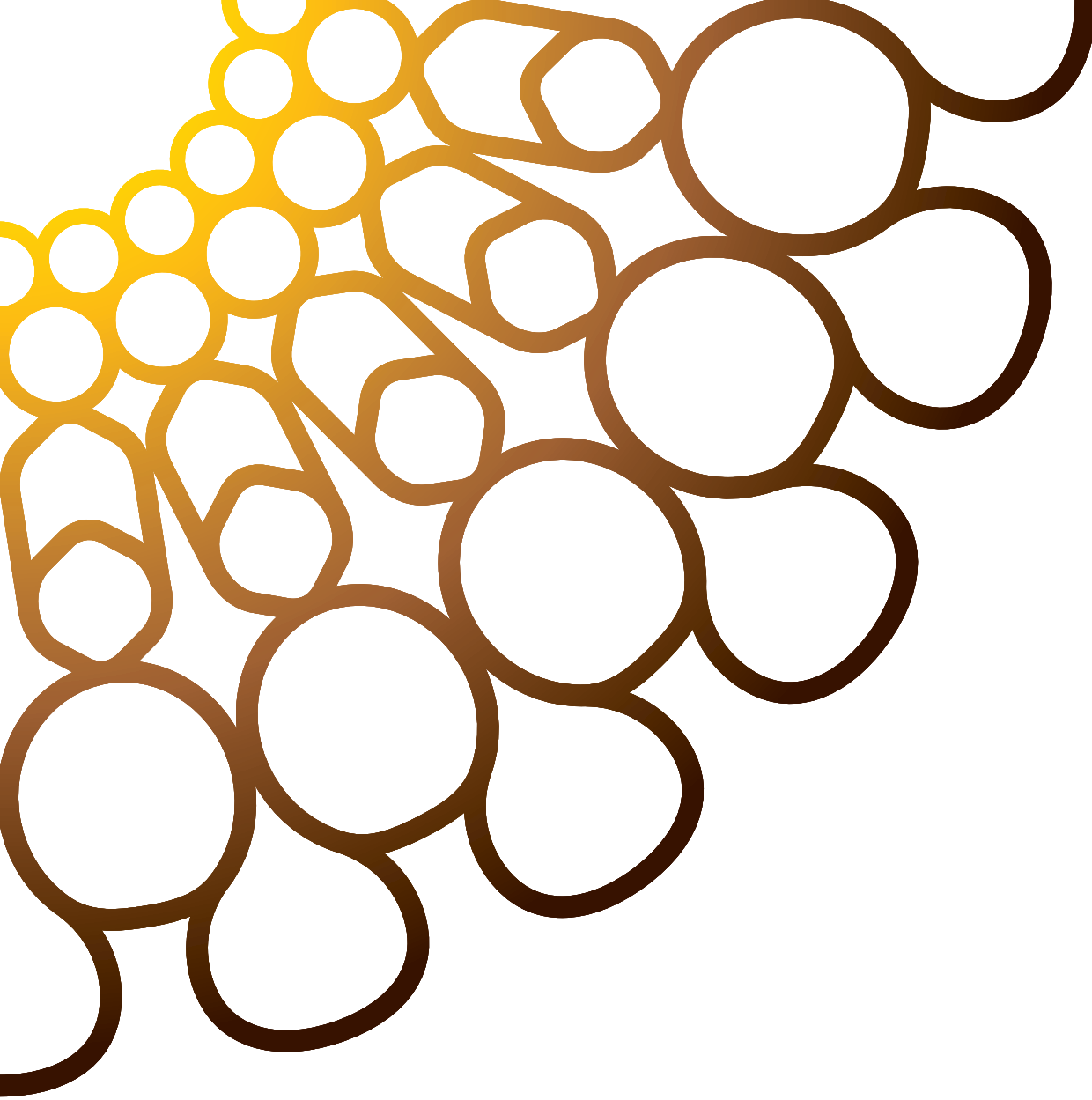


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May 2016



إكسبو 2020 EXPO 2020
دبي، الإمارات العربية المتحدة
DUBAI, UNITED ARAB EMIRATES



Her Excellency Reem Al Hashimy

UAE Minister of State for International Cooperation
Director General, Bureau Expo Dubai 2020

Connecting Minds, Creating the Future is far more than a theme; it is a mandate, a promise that the UAE has made to the world. From every walk of life, and every corner of the globe, we are connected through our hope for a brighter future. In this world of shared destinies, our theme is a commitment to partnership – a partnership that will foster cross-national and cross-disciplinary cooperation and innovation.

Our hope is to engage the international community in seeking collective solutions to global challenges in our subtheme areas of Opportunity, Mobility, and Sustainability.

In our increasingly interconnected and interdependent economies and societies, collaborative partnerships are key to building happier and more prosperous communities, smarter cities, cutting-edge learning environments, intelligent transport systems, lower carbon energy solutions, and so much more.

We foresee a world with clean air to breathe and clean water to drink; a world where natural resources are conserved for tomorrow; a world where entrepreneurs flourish; a world where all women are well-educated and empowered, where children are not hungry, where healthcare is not a luxury, and where poverty is eliminated; a world of opportunity for future generations.

Expo Live gives content to our pledge to support innovative solutions for these global challenges. It recognises that economic, social, and environmental challenges are closely intertwined. It translates the aspirations of our theme into a global initiative – creating opportunities for partnerships, innovations, and solutions that directly benefit communities around the world. Expo Live is about innovation; it is about sharing; it is about change with impact; it is about people implementing new ideas that positively affect the lives of people.

From our first call for proposals for Expo Live Innovation Impact Grants in 2016 through to 2020, we will deliver on the promise and power of connecting minds to create a better future. Our aim is to accelerate both the development and deployment of solutions in *Opportunity, Mobility, and Sustainability*.

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1 Expo Dubai 2020 and the Expo Live Programme

1.1 Overview of Expo Dubai 2020

Expo Dubai 2020 (the “Event”) will be the first World Expo held in the Middle East, Africa and South Asia (MEASA). With its central theme of *Connecting Minds, Creating the Future* and the subthemes of Opportunity, Mobility and Sustainability, it is committed to convening the global community and inspiring people to collaborate towards creating a better future. Recognizing that today’s challenges are too complex and interconnected to be solved in isolation, Expo Dubai 2020 is determined to connect creative minds and forge new partnerships.

Expo Dubai 2020 will be held between 20 October 2020 and 10 April 2021. The venue is a 200-hectare site where approximately 250 participants will represent nations, international organisations, companies, NGOs and universities. With 25 million expected visits, 70% of which will be international, Expo Dubai 2020 provides a one-of-a-kind global stage for showcasing and sharing novel solutions and demonstrating projects that – irrespective of their size and geography – can improve, if not transform, the lives of people around the world.

1.2 Overview of Expo Live

Expo Live is the on-going innovation partnership programme of Expo Dubai 2020 LLC. It is designed to harness the convening power of a World Expo by engaging a broad array of participants to develop and accelerate solutions across Opportunity, Mobility and Sustainability.

Expo Live has four objectives:

- › **Innovation** – spurring and accelerating innovation while also sharing results and generating the inspirational content that will enrich learning during Expo Dubai 2020.
- › **Impact** – finding and supporting the innovative solutions that will have a transformative impact at a grassroots level, meaning that we find better solutions with greater social impact, earlier.
- › **Partnership** – encouraging innovators and other solution-seekers to work together in new ways across the traditional boundaries of disciplines, sectors, regions, cultures and institutions.
- › **Engagement** – ensuring that the journey between now and 2020 involves hands-on collaboration and a true ‘connecting of minds’ around Expo’s subthemes.

To achieve these objectives, Expo Live will rely on a combination of financial incentives, network-building initiatives and knowledge-sharing events.



2 Expo Live Grant Programme

2.1 Objectives

The Expo Live Grant Programme, an initiative of Expo Dubai 2020 LLC, will fund novel and innovative solutions that encapsulate the spirit of the theme *Connecting Minds, Creating the Future*. The focus areas for funding are the subtheme areas of *Opportunity, Mobility and Sustainability*. Successful applicants will demonstrate how new innovations, or the innovative application of existing solutions, could lead to impressive impacts at community level. Potential for replication, scaling-up or scaling-out¹ of solutions and its impacts are important.

Expo Live will introduce two categories of grants: *Innovation Impact Grants* and *Exposure Grants*². The focus here is on Innovation Impact Grants.

2.2 Innovation Impact Grants

Funding levels will depend on the stage of maturity of the project, its intended duration, and the extent of its impacts in the subtheme areas as well as at community level. Tranche funding against milestones will be introduced for larger projects, multi-year initiatives and start-up ventures.

To qualify for Innovation Impact Grants, projects and solutions can be at different stages of development and maturity. Expo Live will fund projects that are beyond the ideation and prototyping stages. The intent is to build a portfolio of projects that range from demonstration to transition-to-scale.

2.3 Focus Areas for Funding

The theme of *Connecting Minds, Creating the Future* recognizes that the creation of sustainable solutions to global problems demands readiness to reach out across geographies, institutional boundaries, industry clusters, cultures and disciplines. Often we have to move outside our traditional silos to make progress; with solutions emerging from where they are least expected.

The subthemes of Opportunity, Mobility and Sustainability (O-M-S) reflect the timeless drivers of progress that continue to inspire people, organisations and nations in their endeavours to create a better future.

The focus areas for innovative solutions under each subtheme are deliberately kept broad to ensure maximum inclusion and to allow creative ideas from across the world to emerge. The following tables are indicative and not comprehensive.

¹ Scale-up is when projects introduce innovative ways to expand the potential benefits of solutions to more people and more regions. Scale-out is when projects extend their application to new problems, new domains or through new partnership models.

² More details on Exposure Grants will be announced in 2017, but in brief, these grants will be designed to showcase and share outstanding projects before and during the Event.

Opportunity

Opportunity is about unlocking the potential of individuals and communities to shape a better future. Within the subtheme of Opportunity, we aim to bring to life our distinct and collective efforts to allow people and communities to realize their potential in a fast-changing world.

Opportunity lies at the core of human development and growth. It is about the aspirations of people, and turning those aspirations into the realities of tomorrow through education, employment, new industries, financial capital and governance systems.

Opportunity: Unlocking the potential within individuals and communities to shape a better future	
Innovation objectives	Sample categories of solutions
Education	› Improving access and quality of education, training & capacity-building › Mentoring & support networks › Online education & new tools for learning › Schools & curricula for the future
Employment	› Access to employment › (Re)skilling for emerging jobs › Workplaces of the future (physical & virtual) › Automation of work (artificial intelligence & robotics)
New industries	› Fostering entrepreneurship › Boosting small & medium enterprises › Emerging & pioneering sectors, industries & business models › Future manufacturing & services
Financial Capital	› Access to funding & financial services › Access to global markets › Future of banking, payments & financial services › Social enterprises, impact investing & new philanthropy
Governance	› Systems to enhance transparency, inventiveness & competitiveness › Innovation ecosystems › Creative communities › Smart government



Mobility

Mobility is the bridge to Opportunity. By enabling connections, Mobility simulates progress and opens the door to possibility and inclusion. It explores the many different ways in which movement – of people, goods and ideas – can bring the world into greater connection. This subtheme is as much about the way we move as it is about the speed

and scale of progress that we can achieve thanks to the ability to connect physically and virtually. Creating smarter and effective connections accelerates progress as new ideas, knowledge and resources can reach communities faster and further.

Mobility: Creating smarter and more productive movement of people, goods, and ideas	
Innovation objectives	Sample categories of solutions
Transportation	› Transportation vehicles and solutions › Transportation hubs (seaports, airports, space stations) › Physical infrastructure › Exploration and new frontiers for travel and tourism
Travel & Exploration	› Supply chains of the future › Future of packaging and storage › Logistics of vital goods (food, energy, water, medicine) › Humanitarian logistics
Personal Mobility	› Universal access: physical & digital › Assistive robotics › New technologies for prosthetics and orthotics
Logistics	› Big data and the Internet of Things › Virtual and remote services › Augmented reality › Satellite and applications › Cybersecurity
Digital Connectivity	› Accessing remote services (internet, health, education) › Big data, Internet of things & Smart cities › Virtual & augmented experiences › Navigation & satellite applications



Sustainability

Sustainability finds its ultimate expression in our respect for the wonder and complexity of the world we inhabit. By understanding the intricate and often hidden web of connections that shape the working of our world, we can develop new ways of interacting with our habitats, discovering new resources or using resources in novel

ways, designing systems and processes that help us exist within the boundaries of our environment. It reflects our commitment to understand and nurture the bond that connects people, society and habitats and to find new paths for prosperity that can lead to a viable future.

Sustainability: Respecting and living in balance with our planet	
Innovation objectives	Sample categories of solutions
Natural Ecosystems & Biodiversity	› Unlocking traditional & indigenous knowledge › Biodiversity management, understanding & conservation › Future of eco-regions & biomes › Closed loop systems & biomimicry
Resources	› Access to current & unexplored resources › Energy, water & food nexus › Resource efficiency, management, storage & distribution › Sustainable food production
Sustainable Cities & Built Habitats	› Sustainable lifestyles (design & manufacturing) › Sustainable materials › Green standards & best practices › Preserving cultural authenticity
Climate Change	› Adapting to social impacts of climate change › Emissions reporting & management › Novel technologies to mitigate climate change › Education & advocacy
Green Growth	› Innovation and investment in human capital & infrastructure for green growth › Greening consumer behavior › New economic models & circular economies › Technologies for a green transition



2.4 Project Selection Cycle

Applications for Innovation Impact Grants will open in 2016. The selection cycle has four main stages:

› Pre-proposal abstract:

- Once applicants have registered on the Expo Live e-portal, a short abstract describing the project should be submitted online³.
- In addition to an abstract, an optional two-minute video clip that explains the target problem, the proposed solution and why it is a creative, bold and innovative approach, could be submitted. The purpose of the video is to demonstrate the creativity of innovators. Once projects are pre-selected, these videos may be posted on Expo Live's website or Expo social media platforms for public viewing⁴.
- Shortlisted applicants will be invited to submit a full application.
- Applicants can expect a decision within 30 working days after abstract submission.

› Full application:

- Upon receipt of an invitation, a full project application and supporting documents must be submitted online⁵ within 30 working days.
- Proposals will be reviewed and assessed based on the technical and sustainability criteria as outlined below.
- Depending on the technical/scientific nature of the application, this stage should be completed within 30 to 60 working days after submission.

› Grant agreement

- Once a grant has received approval for funding, a preliminary award notification letter will be sent to the applicant containing a list of any outstanding issues that need to be resolved prior to signing the agreement.
- The agreement will be customised to provide for key performance indicators, impact assessment, technical assistance and risk mitigation.
- Original copies of the agreement must be signed by both parties before funds can be disbursed. Standard terms and conditions will apply.

2.5 Technical Assistance

Applicants from qualifying developing countries may be eligible for technical assistance (TA) during the application, screening and evaluation stages. The level of technical support will depend on needs. Expo Live will consider customized technical assistance on a case-by-case basis.

In the post-award stage, TA to qualifying projects should ideally be integrated with project/business plans and budgets, and thus be covered by grant agreements. During the due diligence stage, Expo Live may conduct capacity assessments and prepare recommendations to address capacity gaps. We may also assess potential project risks and, if required, recommend risk mitigation measures (e.g. business or management support in different disciplines) to be funded as part of grant agreements.

Capacity building and TA may be extended to the Monitoring & Evaluation stage, with the emphasis on building financial and programmatic reporting capacity.

³ In exceptional cases, for example where applicants do not have access to broadband, hard copies or emailed copies will be considered, provided the correct templates are used.

⁴ Upon submission of a video, applicants will immediately confer the right of perpetual use of such video by Expo Dubai 2020 LLC for marketing purposes.

⁵ In exceptional cases, for example where applicants do not have access to broadband, hard copies or emailed copies may be considered, provided the correct templates are used.



3 Eligibility and Evaluation Criteria

3.1 Eligibility Criteria: Who can apply?

- › Eligible applicant(s) are individuals and legal entities, including, but not limited to: governments, non-governmental and international organisations, community-based and grassroots organisations, public organisations or associations, social enterprises, universities and educational organisations, foundations, public-private partnerships, inventors, private companies and entrepreneurs.
 - Projects related to youth empowerment and/or initiated by the youth are encouraged to participate through their institutional affiliations (e.g. schools and universities, or community-based organisations).
 - In the case of individual innovators applying for grants, they must apply independently, meaning unaffiliated with an organization⁶.
 - The applicant may act individually or with co-applicants. Co-applicants must satisfy the same eligibility criteria as applicable to the applicant⁷.
- › No individuals or organizations with questionable legal status or standing may apply⁸. These could include:
 - Being convicted of an offence concerning their professional conduct by a judgment of a competent authority;
 - Non-compliance with social security or tax payments in accordance with the legal provisions of the country in which they are established or those of the country where the contract is to be performed;
 - In financial trouble, which may include bankruptcy or suspended business activities;
 - Legally convicted for fraud, corruption, involvement in a criminal organisation, money laundering or any other illegal activity; and/or
 - Misrepresentation in supplying the information required in this application process.

3.2 Evaluation Criteria

3.2.1 Abstracts

Following an initial screening for eligibility, abstracts will be evaluated by the Expo Live Evaluation Panel against the following criteria.

	Criteria	Weight*
1	Alignment with Expo Dubai 2020's objectives: Is the project relevant to the theme & subthemes?	0.3
2	Innovativeness: Is the project novel, inspiring and demonstrable?	0.3
3	Impact: Are the community benefits meaningful, scalable/replicable and can it be assessed/measured?	0.3
4	Quality of submission and organisational capacity	0.1

* The total weight of the 4 criteria equals 1.00 (100%). The total score is calculated as the average weighted score (points on a scale of 1 to 5) received from the Evaluation Panel.

Projects shortlisted during the pre-proposal abstract stage should obtain an average weighted score of at least 3.5 out of 5.

⁶ If employed by or affiliated with an organization, individual applicants must have authorization from the organization to pursue project development exclusively as an individual with no rights reserved to the organization. The individual, not the organization, retains all intellectual property rights accrued from the grant project.

⁷ If awarded the grant, the lead applicant will become the Beneficiary identified as the Coordinator in the grant agreement. The Coordinator is the main interlocutor of the Expo Live Department and represents and acts on behalf of any other co-beneficiary. Where there are co-applicants, ex ante agreement is required on ownership of intellectual property rights resulting from the project.

⁸ Individuals will have to submit Police Reports attested by the relevant authorities, and/or bank statements, and/or certificates of good standing, and/or an equivalent document from any entity requested by Expo Dubai 2020 LLC. Private Companies and other legal entities will have to submit the companies' legal documents updated and attested by the relevant authorities, and/or bank statements, and/or certificates of good standing, and/or an equivalent document from any entity requested by Expo Dubai 2020 LLC.

3.2.2 Full application: Technical Review

Following a full screening for eligibility and compliance, applications will be evaluated by the Expo Live Evaluation Panel, or designated external experts if required, against the following technical criteria:

Criteria	Weight*	Score***
1 Relevance to the Theme and Subthemes › Is the project focused on at least one of the subthemes (O-M-S)? › Does the project combine more than one sub-theme? › Does the project create new connections to help solve global challenges in O-M-S, for example: Does the project involve different stakeholders, countries, regions and/or communities; bring together different technologies; span more than one disciplines; or connect people, ideas and institutions across physical boundaries through creative partnership and networking models? › Is the project consistent with all of the subthemes, i.e. progress in one subtheme area does not have unintended negative consequences in another subtheme area?	0.25	(5) – highest score (the reply to these questions is decisively positive) (1) – lowest score
2 Novelty and innovativeness of the solution › Is the project innovative and novel? › Would the project capture the public imagination and does it have showcasing potential? › Does the project deploy an existing solution in a new way or a new context? Could a local, culturally authentic solution find wider application elsewhere? Or does the project propose an entirely new technology, product, service, process, method, business model or community engagement model?	0.25	(5) – highest score (the reply to these questions is decisively positive) (1) – lowest score
3 Positive impact at community level › Does the project clearly identify the community it will impact (and currently impacts)? › Does the project hold the potential to deliver significant and measurable benefits to the community in the subtheme areas? › Can the social return on investment be assessed quantitatively? › Will the project improve the quality of life of people without compromising environmental sustainability? › Is the solution appropriate to the context?	0.25	(5) – highest score (the reply to these questions is decisively positive) (1) – lowest score
4 Scalability or Replicability › Is the project worth further local implementation, or replicable at regional or global levels? – Scale-up: Is the project expanding its current or potential benefits to more people in one or more regions? – Scale-out: Is the project extending the domain of application to new problems or through new business or partnership models? › Is the project at a stage of development that lends itself to demonstration and/or transition-to-scale implementation? › Is the project/solution inherently scalable/replicable with future potential for expansion of reach?	0.25	(5) – highest score (the reply to these questions is decisively positive) (1) – lowest score
Average weighted score**		

* The total weight of the 4 criteria equals 1.00 (100%)

** The score is calculated as the average weighted score (points) received from the Evaluation Panel

*** Scoring Guidelines

5 Excellent alignment, capability or quality of project criteria. Meets all requirements.

4 Very good alignment, capability or quality of project criteria. Meets most requirements.

3 Good alignment, capability or quality of project criteria. Meets some requirements.

2 Weak alignment, capability or quality of project criteria. Meets few requirements.

1 Poor alignment, capability or quality of project criteria. Does not meet requirements.

The Evaluation Panel may call for expert inputs, site visits or independent review reports. When submitting the full application, all applicants will be requested to provide the names and contact information for three independent reviewers / references. These independent experts or persons of authority should have knowledge of the project, applicant and/or legal entity and must be willing to submit confidential reports (upon request). Expo Live reserves the right to contact and contract other experts, reviewers and/or references to assess projects, proposals, legal entities and individual applicants.

3.2.3 Full Application: Sustainability Review

Projects shortlisted during the technical evaluation process (with an average weighted score of at least 3.5 out of 5) will be subjected to a sustainability review based on the following financial and management criteria:

Criteria		Weight*	Score***
1	Project / Business Plan: › Is the plan credible, systematic and coherent? › Does it provide complete details on, for example, operations, technologies, resources, projections, and requirements appropriate to the type of project? › Does it demonstrate a high degree of financial sustainability? › Are there substantive project risks, and can these be mitigated? › Does the proposal include clear measures of success and clearly identified monitoring and communication channels?	0.30	5) – highest score (the reply to all questions is decisively positive) (1) – lowest score
2	Key Resources: › Is the team and leadership qualified to carry out the proposed project? › Are there plans to expand the team if needed? › Does the team have access to the key resources needed to carry out the project (technical, financial, facilities, network)? › Are there evidence of appropriate and accountable financial management practices and monitoring & reporting capacity?	0.40	(5) – highest score (the reply to all questions is decisively positive) (1) – lowest score
3	Appropriate Budget: › Is the requested grant amount sufficient to ensure successful completion of the project? › Is the requested grant amount reasonable and proportionate to the project's anticipated impacts? › Is the project co-funded or partially self-funded? Projects that are partially self-funded or co-funded by other organizations should document the share of own and/or external funding. Innovative ways to leverage additional funding is encouraged.	0.30	(5) – highest score (the reply to all questions is decisively positive) (1) – lowest score

The initial ranking will be based on the aggregate score of the Evaluation Panel, with a ratio of 50:50 for the Technical and Sustainability scores. However, as part of our developmental approach, and based on the Sustainability

Review, the Expo Live Evaluation Panel could favourably consider projects with strong technical merit for which technical assistance could be included in approved project plans and budgets to enhance project sustainability.



4 Disbursement, Reporting and Audit

4.1 Disbursement

Funds can be disbursed in a number of ways. The decision on how funds will be disbursed will be taken at the grant approval stage. The options available at the sole discretion of Expo Dubai 2020 LLC include:

- › A lump sum as percentage of the total grant at the start of the project time line (i.e. funding based on the project document submitted), with the remainder reimbursed at project conclusion against invoices and/or any other equivalent supporting documents, as well as a final report.
- › Reimbursement of the lump sum against invoices and/or any other equivalent supporting documents, as well as a final report at the end of the project.
- › Installment payments at specified milestones during the project.

Any funds left over from a project must be returned to Expo Dubai 2020 at the end of the project. Interest earned must be accounted for.

4.2 Audit and Reporting

Reporting on grant projects is an important part of the Expo Live funding process.

Grantees have a contractual obligation to report on their projects at regular intervals. These intervals will be stipulated in grant agreements and will be based on the nature and duration of the project as well as the grant amount. Reports should highlight the progress and achievements of the project (through narrative reporting and visual/multimedia materials), as well as financial information.

Deliverables will be agreed during the grant agreement stage (to be based on KPIs submitted during application stage) and should be submitted together with the annual report within 20 working days after the end of each reporting period. The format for progress reports and final reports will be provided.

The final report should contain a publishable summary of high quality as well as digital images that Expo Live could use for publicity and marketing purposes.

Expo Live reserves the right to appoint ad hoc reviewers and independent financial auditors.



Application Form
for Job Applicants

1. INDIVIDUAL

First Name: [Grid]
Last Name: [Grid]
Date of Birth: [Grid]
Gender (Sex): ☐ Male ☐ Female
Marital Status: ☐ Single ☐ Married
Passport No.: [Grid]
NRIC No.: [Grid]
Contact No.: [Grid]
Mobile No.: [Grid]
Alternative Contact No.: [Grid]
Nationality: [Grid]
Race: [Grid]
Email: [Grid]

2. EMPLOYMENT OPPORTUNITY

As an EQUAL OPPORTUNITY EMPLOYER, we do not discriminate against applicants or employees because of their age, race, color, religion, national origin, sexual orientation, sex, (except where sex is a bona fide occupational qualification) or on any other basis prohibited by applicable state or federal laws. Furthermore, we will not discriminate against any applicant or employee because he or she has a physical or mental disability, is a disabled veteran, provided he or she is qualified and meets the requirements established by the job.

3. FILL INFORMATION

Can you, after employment, submit verification of your legal right to work in the Bangladesh?
☐ Yes ☐ No

Are you at least age 18?
☐ Yes ☐ No

Are you under the age of 18?
☐ Yes ☐ No

Have you filed an application or been employed here before?
☐ Yes, date: [Grid] ☐ No

On what day are you available to start work?
☐ Part Time ☐ Full time

Are you known to school/references/work by another name?
If yes, what name(s)? [Grid]

What days/hours can you work?
Computer skills (please list) [Grid]
Foreign languages (indicate proficiency) [Grid]

4. EDUCATION

High School
Name & Location [Grid]
Last Grade Completed [Grid]
Degree [Grid]
College
Name & Location [Grid]
Last Grade Completed [Grid]
Major [Grid]
Minor [Grid]
Degree [Grid]
Attended college but did not graduate [Grid]

Information provided by me is true and correct. If anything is found to be false, fabricated or untrue, I understand that the Employer has the right to cancel my employment at any stage. I agree to disciplinary proceedings/legal actions under the law.



5 Intellectual Property

All Intellectual Property developed during project execution will vest in the grant recipient. This latter will hold harmless Expo Dubai 2020 LLC from any claim and/or action from any third party related to the ownership of the Intellectual Property and its infringement. If an application is submitted by co-applicants, ex ante agreement is required on the ownership of intellectual property.

The Applicant shall not, and shall require that its Co-Applicants do not use the logo or any trademarks of Expo Dubai 2020 LLC or Expo Live unless the Applicant and its Co-Applicants have respectively executed valid license agreements with of Expo Dubai 2020 LLC, Expo Live and/or any related entity for such use.

Expo Dubai 2020 LLC has the right to use name, likeness, and statements made by applicants / grantees for advertising, publicity and other marketing purposes. Any and all rights to these shall be with no further funding or compensation. The intent of this is to allow Expo Dubai 2020 LLC to publicize / market Expo Live and its accomplishments.

Stipulations in respect of intellectual property will be captured and elaborated on in the grant agreement and standard terms and conditions.



Contact info:

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